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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/944,877	09/01/2001	Carl A. Caroli	4

Docket Administrator (Room 3J-219)
Lucent Technologies Inc.
101 Crawford Corner Road
Holmdel, NJ 07733-3030



CONFIRMATION NO. 2788

FORMALITIES LETTER



OC000000007129349

Date Mailed: 11/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/18/2002 HLAKEH1 00000003 09944877

01 FC:101 740.00 CH
02 FC:105 130.00 CH



Serial No. 09/944877

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Patent Application

Inventor(s): Carl A. Caroli

Case: 4

Serial No.: 09/944877

Filing Date: September 1, 2001

Examiner: Group Art Unit: 2661

Title: Wavelength-Selective Routing Using An Optical Add/Drop
Architecture

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D. C. 20231

SIR:

Response to Notice to File Missing Parts of Non-Provisional Application

Enclosed is the Declaration and Power of Attorney relating to the above-identified application.

Please charge Lucent Technologies **Deposit Account No. 12-2325** the amount of **\$130.00** to cover the filing fee surcharge and **\$710.00** to complete the basic filing fee for a non-small entity. Duplicate copies of this letter are enclosed.

A copy of the Notice to File Missing Parts of Non-Provisional Application is also enclosed.

Respectfully,

By Gregory J. Murgia
Gregory J. Murgia, Attorney
Reg. No. 41209
732-949-3578

Date: 4/9/02

I hereby certify that this correspondence is being
deposited with the United States Postal Service as
first class mail in an envelope addressed to:
Commissioner of Patents and Trademarks, Wash-
ington, D.C. 20231, on APRIL 9, 2002
(Date of Deposit)

Sharon Robinson
Date 4/9/02

04/18/2002 FILED 00000003 122125 09944877
01 EC:101 740.00 CH
02 FI:205 130.00 CH